

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kalman A. Lifson  
Serial No.: 10/736,723  
Filed: December 15, 2003  
Examiner: Carol A. See  
Art Unit: 3696  
Confirmation No.: 3576  
Title: *Stock Portfolio and Method*

**Mail Stop: Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Response to Notice of Non-Compliant Amendment and**  
**Response Pursuant to 37 C.F.R. §1.111**

In response to the Office Action dated June 25, 2008 and the subsequent Notice of Non-Compliant Amendment dated May 27, 2009, Applicant respectfully requests the Examiner to reconsider the rejection of the claims in view of the amendments to the claims as set forth below.